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3 February 2005

TRANSMITTAL SHEET

To: Director of the United States Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: Gavin N. Manning

Re: Disclosure Statement

1. US Patent Application Serial No.: 10/644813  
 Title: **OPTICAL DISC STORAGE APPARATUS**  
 Filed: 21 August 2003  
 Inventor: MATSUNO, Akihisa

NO. OF PAGES INCLUDING THIS TRANSMITTAL SHEET: 15

Enclosures: Transmittal Form (PTO/SB/21) - 1 page  
 Disclosure Statement Pursuant to 37 CFR §1.56 - 2 pages  
 USPTO Form PTO/SB/08A - 2 pages  
 International Search Report - 4 pages  
 Written Opinion of the International Searching Authority - 5 pages

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/644,813
Filing Date	21 August 2003
First Named Inventor	MATSUNO, Akihisa
Art Unit	
Examiner Name	
Total Number of Pages in This Submission	14
Attorney Docket Number	M619 0001

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	OYEN WIGGS GREEN & MATA		
Signature			
Printed name	Gavin N. MANNING		
Date	February 3, 2005	Reg. No.	36,412

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Signature			
Typed or printed name	Brad D. Sherbuck	Date	February 3, 2005

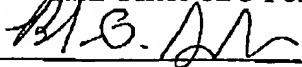
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Brad Sherbuck - Assistant to Gavin N. Manning

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Inventor(s): MATSUNO, Akihisa  
Title: OPTICAL DISC STORAGE APPARATUS  
Serial No.: 10/644813  
Filed: 21 August 2003

Date: 3 February 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Disclosure Statement Pursuant to 37 C.F.R. §1.56**

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicant(s) and/or their agents and is being submitted in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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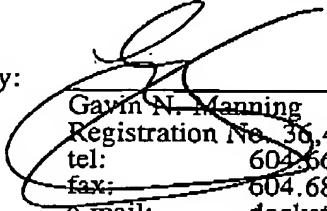
In accordance with 37 C.F.R. §1.98, transmitted herewith are:

1. A completed copy of Form PTO/SB/08 "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08, except for copies of U.S. patents and published U.S. patent applications which are not required for applications filed after 30 June 2003.

37 CFR §1.97(e) Certification

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,  
OYEN WIGGS GREEN & MUTALA

By: 

Gavin N. Manning  
Registration No. 36,412  
tel: 604.569.3432 ext. 9043  
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PTO/SB/06A (03-03)

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Substitute for form 1443/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

Application Number	10/644,813
Filing Date	21 August 2003
First Named Inventor	MATSUNO, Akihisa
Art Unit	
Examiner Name	
Attorney Docket Number	M619 0001

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/644,813
(Use as many sheets as necessary)				Filing Date	21 August 2003
				First Named Inventor	MATSUNO, Akihisa
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	M619 0001

## NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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**EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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